

### REMARKS

Claims 1-34 are currently pending in the captioned patent application. In this response, Claims 1, 7 and 22 have been amended. Support for the amendments may be found throughout the application specification and drawings as originally filed. No new matter has been added.

For at least the reasons set forth below, applicants respectfully request reconsideration of the rejections set forth in the Office Action and allowance of the pending claims.

### Interview Summary

Applicants wish to thank Examiner Kirsten Apple for the courtesy of a telephone interview conducted on February 11, 2009, with inventor Bob Stewart and applicants' undersigned representative. During the interview, the language of previously pending Claim 7 was discussed as a representative claim in view of rejections set forth in the Office Action, including novelty rejections based on Luskin (U.S. Pat. No. 5,812,987). No definitive agreement on patentable subject matter was reached during the interview. However, the present amendments are submitted in accordance with amendments suggested by Examiner Apple during the interview.

Rejections under 35 U.S.C. §102

In the Office Action, Claims 1-34 stand rejected under this section in view of a United States patent issued to Luskin (U.S. Pat. No. 5,812,987).

Among other elements, currently amended Claim 1 of the present application recites:

... an electronic database server in communication with the application server, the database server having at least one associated electronic database; and,

wherein the application server further includes data load logic configured to perform the following electronically on a periodic basis in association with at least one of the databases associated with the database server:

extracting and receiving data into the database from at least one of the accounting system or a data vendor data source,

validating the extracted and received data to promote a complete transmission and to promote a correct as-of-date transmission for the extracted and received data,

deleting previously extracted and received data stored in the database, and

replacing the deleted extracted and received data in the database with the newly extracted and received data.

Applicants respectfully submit that Luskin does not teach, suggest or disclose at least the elements recited above, among other elements included in Claim 1. Moreover, Luskin does not teach or disclose “portfolio analysis of an investment portfolio, wherein the portfolio analysis includes aggregating a plurality of securities in the investment portfolio by security type”; “portfolio monitoring of the investment portfolio”; and, “reporting at least one holding of the investment portfolio” in combination with the other elements of Claim 1.

Claim 1 reflects certain important advantages that can be realized by utilizing

various embodiments of the invention, such as leveraging books-of-record system data without duplicating accounting functions (see Specification, p.2, lines 9-15):

As apparent from the above deficiencies with conventional systems for managing investment portfolios, a need exists for a system that leverages the books-of-record system data without duplicating accounting functions. A further need exists for an integrated system that permits investment professionals to access multiple functions through a common system that integrates the functions that include: portfolio analysis, monitoring, portfolio rebalancing and trade calculation, scenario analysis, reporting and linking publications and data to portfolio holdings.

In addition, independent Claims 7 and 22 are allowable for reasons substantially analogous to those discussed above with regard to Claim 1. Each of Claims 2-6, 8-21, and 23-34 depends from and further limits Claim 1, 7 or 22, and each such dependent claim is therefore allowable for at least the same reasons as its associated independent claim.

Applicants respectfully request reconsideration and withdrawal of the rejections set forth under this section.

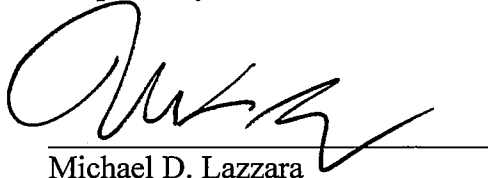
#### Miscellaneous

Applicants submit that the dependent claims pending herein are allowable at least by virtue of their dependency on independent claims which are patentable over the cited references. Applicants reserve the right, however, to make supplemental arguments as may be necessary, because the dependent claims of the present application include additional features that further distinguish the claims from the cited references. A detailed discussion of these distinctions is believed to be unnecessary at this time in view of the fundamental distinctions set forth above.

SUMMARY

Applicants respectfully request allowance of the pending claims of the present application. The Examiner is invited to contact the undersigned representative by telephone or e-mail with any questions or outstanding issues associated with the application.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael D. Lazzara', is written over a horizontal line.

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